Sheet 1 of 1

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO.  10.6  SERIAL NO.  Not Yet Assigned					
		·		APPLICANT(S): Jay D. Caplan				
		•		FILING DATE: Herewith		ART UNIT: Unknown		
			JNITED STATI	ES PATENT DOCUMENTS	36. 5			
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR	
MR	AA	6,485,413 B1	Nov 26 2002	Boppart, et al.	600	160	Mar 6 1998	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N	
		OTHER DOCUMENTS (I	NCLUDING A	UTHOR, TITLE, DATE, PERTIN	ENT PAGES, E	ETC.)		
Examiner:		/Michael Rozansk	xi/	Date: 01/2	6/2007			

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of 1

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Complete if Known					
Application Number	10/646,271				
Filing Date	August 22, 2003				
First Named Inventor	Jay D. Caplan				
Art Unit	Not yet assigned				
Examiner Name	Not yet assigned				
Attorney Docket Number	0010.6				

				DOCUMENTS	
Examinar Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		Number-Kind Code <sup>2</sup> (# Immer) <sup>4</sup>	<u> </u>		, igo: 60 / 4pec.
MR		<sup>US-</sup> 6,658,278 B2	12-02-2003	Gruhl	
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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	1
Initials*	No.1		Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	7
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				_
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Examiner		Date	
	/mat 1	1	<b>01/26/2007</b>
Signature	/Michael Rozanski/	Considered	01/26/2007
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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known					
Application Number	10/646,271				
Filing Date	August 22, 2003				
First Named Inventor	Jay D. Caplan	•			
Art Unit	3763				
Examiner Name	Not yet assigned				
Attorney Docket Number	0010.0006				

**U. S. PATENT DOCUMENTS** Pages, Columns, Lines, Where **Publication Date** Name of Patentee or **Document Number** Examiner Cite No.1 MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Initials\* Figures Appear Number-Kind Code<sup>2 (V known)</sup> US- 2002/0163622 A1 Magnin et al. 11-07-2002 MR US-US-US-US-US-US ับระ US-US-US-US-US-US-US-US-US-US-US-

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MR		WO 03/104864 A1	12-18-2003	InfraReDx, Inc.		
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Examiner Signature /Michael Rozanski/	Date Considered	01/26/2007
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Substitute for form 1449/PTO	Complete If Known			
Substitute for form 1448/210	Application Number	10/646,271		
INFORMATION DISCLOSURE	Filing Date	August 22, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Jay D. Caplan		
	Art Unit	3763		
(Use as many sheets as necessary)	Examiner Name	Not yet assigned		
Sheet 1 of 1	Attorney Docket Number	0010.0006		

	T'A''	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MR		International Preliminary Report on Patentability from International Patent Application PCT/US2004/027168, filed August 20, 2004.	
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Examiner /Michael Rozanski/	Date Considered	01/26/2007

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